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0400 6/26/02
CA4/V154
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of:
Richard E. Smalley et al.

For: METHODS FOR PRODUCING
COMPOSITES OF SINGLE-WALL
CARBON NANOTUBES AND
Compositions Thereof

Atty Dkt: 11321-P012USD9

§ Serial No: 10/033,470
§ (division of application
§ Serial No. 09/380,545)
§
§ Filed: December 28, 2001
§
§ Group Art Unit: 1754 (anticipated)
§
§ Prior Examiner: Stuart Hendrickson
§ 703.308.2539
§

U.S. Patent and Trademark Office
Washington, D.C. 20231

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<u>19 March 2002</u> Date	<u><i>Wanda Alexander Warren</i></u> Signature
<u>Wanda Alexander Warren</u> Printed Name	

SECOND PRELIMINARY AMENDMENT

Sir:

This paper supplements a Preliminary Amendment filed December 28, 2001 which accompanied a Request for Filing Divisional Application Under 37 C.F.R. § 1.53(b). Applicant believes no fee is due with the filing of this paper, however, if any fees are determined to be due, the Assistant Commissioner, Commissioner, and/or the Director of the U.S. Patent & Trademark Office is/are hereby authorized to charge any such fees to Winstead Sechrest & Minick Deposit Account No. 23-2426, referencing matter number 11321-P012USD9.

AMENDMENTS

In the Abstract

Please amend the abstract by replacing the present abstract with the following:


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IN RE: APPLICATION OF SMALLEY et al.



ABSTRACT

This invention relates generally to a method for producing composites of single-wall carbon nanotubes (SWNTs) and compositions thereof. In one embodiment, the present invention involves a method of producing a composite material that includes a matrix and a carbon nanotube material embedded within said matrix. In another embodiment, a method of producing a composite material containing carbon nanotube material is disclosed. This method includes the steps of preparing an assembly of a fibrous material; adding the carbon nanotube material to the fibrous material; and adding a matrix material precursor to the carbon nanotube material and the fibrous material.

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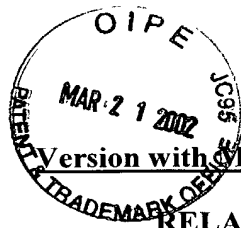
In the Specification

Please amend the first paragraph pertaining to Related Applications entered in a Preliminary Amendment filed December 28, 2001 and replace it with the following. Pursuant to Rule 121, a "marked up" version of this paragraph appears on the following page.

RELATED APPLICATIONS

This application is a division of co-pending prior U.S. patent application Serial No. 09/380,545, filed on December 22, 1999, entitled "CARBON FIBERS FORMED FROM SINGLE-WALL CARBON NANOTUBES," which is the 35 U.S.C. § 371 national application of International Application Number PCT/US98/04513 filed on March 6, 1998, which designated the United States, claiming priority to: provisional U.S. patent application Serial Number 60/067,325, filed on December 5, 1997; provisional U.S. patent application Serial Number 60/064,531, filed on November 5, 1997; provisional U.S. patent application Serial Number 60/063,675, filed on October 29, 1997; provisional U.S. patent application Serial Number 60/055,037, filed on August 8, 1997; provisional U.S. patent application Serial Number 60/047,854, filed on May 29, 1997; and provisional U.S. patent application Serial Number 60/040,152, filed on March 7, 1997. Each of the foregoing applications is commonly assigned to the assignee of the present invention and is hereby incorporated herein by reference in its entirety.

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IN RE: APPLICATION OF SMALLEY et al.

Version with Markings to Show Changes Made

RELATED APPLICATIONS

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